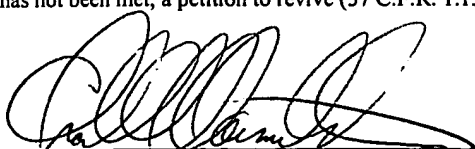


| | | | | |
|--|--|--|--|--|
| FORM PTO-1390 (REV 5-93) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY DOCKET NO. 101136-00129 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | DATE: August 4, 2006 |
| U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.51) NEW APPLICATION 10/588507 | | | | |
| INTERNATIONAL APPLICATION NO. PCT/JP2005/002050 | | INTERNATIONAL FILING DATE February 10, 2005 | | PRIORITY DATE CLAIMED February 10, 2004 |
| TITLE OF INVENTION: THIN FILM FORMING APPARATUS | | | | |
| APPLICANT(S) FOR DO/EO/US: Takafumi MATSUMOTO (Kanagawa, JAPAN); Shun MIKAMI (Kanagawa, JAPAN), Kouichi HANZAWA (Kanagawa, JAPAN), Mineharu MORIYA (Kanagawa, JAPAN), Hideyuki ODAGI (Kanagawa, JAPAN), Tetsuya SHIMADA (Kanagawa, JAPAN), Masahi KUBO (Kanagawa, JAPAN), and Susumu IKEDA (Kanagawa, JAPAN) | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The U.S. has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed [35 U.S.C. 371(c)(2)] <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application into English [35 U.S.C. 371(c)(2)]. <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]. 9. <input type="checkbox"/> An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]. 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]. | | | | |
| Items 11 to 20 below concern other document(s) or information included: | | | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; and PTO-SB08a and International Search Report. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Drawings – Figs. 1-16 (7 sheets); PCT Request (Form PCT/RO/101); English Language International Search Report (Form PCT/ISA/210); PCT First Notice Informing the Applicant of the Communication of the International Application (To Designated Offices which do not Apply the 30 month time limit under Article 22 (1) (Form PCT/IB/308) | | | | |

IP20 Rec'd PCT/PTO 04 AUG 2006

| | | | | | |
|---|-------------------------|---|--|---|--------------|
| U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.50) 101136-00129 | | INTERNATIONAL APPLICATION NO. PCT/JP2005/002050 | | ATTORNEY DOCKET NO. 101136-00129 | |
| The following fees are submitted: | | | | CALCULATIONS | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic National Fee | | \$300.00 | \$ | 300.00 | |
| 22. <input checked="" type="checkbox"/> Examination Fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) All other situations | | \$100.00 \$200.00 | \$ | 200.00 | |
| 23. <input checked="" type="checkbox"/> Search Fee Search Fee (37 CFR 1.445(a)(2)) has been paid on the International Application to the USPTO as an International Searching Authority International Search Report prepared and provided to the Office All other situations | | \$100.00 \$400.00 \$500.00 | \$ | 400.00 | |
| TOTAL OF CALCULATIONS FOR 21, 22 and 23 = | | | \$ | 900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | Rate | | |
| - 100 = | /50 = | | x \$250.00 | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 C.F.R. 1.492(e)]. | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 12 - 20 = | 0 | x \$ 50.00 | \$ | |
| Independent Claims | 1 - 3 = | 0 | x \$200.00 | \$ | |
| Multiple dependent claim(s) (if applicable) | | | + \$360.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | \$ | 900.00 | |
| Reduction by one-half for filing by small entity, if applicable. Small entity status under 37 C.F.R. §§1.9 and 1.27 is hereby claimed. | | | | \$ | |
| SUBTOTAL = | | | \$ | 900.00 | |
| Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)]. + | | | | \$ | |
| TOTAL NATIONAL FEE = | | | \$ | | |
| Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | \$ | 900.00 | |
| | | | | Amount to be refunded | \$ |
| | | | | Charged | \$ |
| <p>a. <input checked="" type="checkbox"/> Check # _____ in the amount of \$ 900.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 01-2300 in the amount of \$ _____ to cover the above fee. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2300.</p> <p>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | | | |
| <p>SEND ALL CORRESPONDENCE TO: Customer No. 004372 Arent Fox PLLC 1050 Connecticut Avenue, N.W. Suite 400 Washington, D.C. 20036-5339 Tel: (202) 857-6000 Fax: (202) 638-4810</p> | | | <p style="text-align: center;"> Charles M. Marmelstein Reg. No. 25,895</p> | | |
| CMM:cs | | | | | |